



更改賬戶類別表格 Account Type Amendment Form

客戶名稱 Name of Client		賬戶號碼 Account No.	
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更改賬戶類別 Change of Account Type

*不適用於取消賬戶 Not Applicable for cancellation of Account

由證券現金賬戶轉為證券保證金賬戶

Cash Account Change to Margin Account

請為本人/吾等(在下面簽名的客戶)由證券現金賬戶轉為證券保證金賬戶。本人/吾等已經閱讀並明白證券保證金交易協議的條款, 並受相關條款約束。

Please change my/our Cash Account to Margin Account for me/us, the undersigned Client(s). I/We have read and understood the provisions of the Agreement for Securities Margin Trading, and accepted to be bound by the same.

由證券保證金賬戶轉為證券現金賬戶

Margin Account Change to Cash Account

請為本人/吾等(在下面簽名的客戶)由證券保證金賬戶轉為證券現金賬戶。本人/吾等已經閱讀並明白證券現金交易協議的條款, 並受相關條款約束。

Please change my/our Margin Account to Cash Account for me/us, the undersigned Client(s). I/We have read and understood the provisions of the Agreement for Securities Cash Trading, and accepted to be bound by the same.

客戶聲明及確認 Declaration and Acknowledgement by Client

關連保證金賬戶資料 Related Margin Account(s) Information

閣下的配偶是否浙商國際金融控股有限公司的保證金客戶?

Is your spouse a margin client of Zhesang International Financial Holdings Co., Limited? 是 Yes 否 No

如是, 請提供賬戶名稱及賬戶號碼:

賬戶名稱 Account Name: _____

If Yes, please provide the Account Name and Account No.:

賬戶號碼 Account No.: _____

閣下及/或閣下的配偶是否單獨及/或共同控制浙商國際金融控股有限公司的任何保證金公司客戶的 35%或以上表決權?

Do you and/or your spouse, either alone or with your spouse control 35% or more of the voting

rights of any margin corporate client of Zhesang International Financial Holdings Co., Limited? 是 Yes 否 No

如是, 請提供賬戶名稱及賬戶號碼:

賬戶名稱 Account Name: _____

If Yes, please provide the Account Name and Account No.:

賬戶號碼 Account No.: _____

本人/吾等確認:

I/We acknowledge that:

(1) 本人/吾等已獲邀參閱浙商國際金融控股有限公司之客戶協議附表 2 中的風險披露聲明, 提出問題及徵求獨立意見(如本人/吾等有此意願)。

I was/We were invited to read the Risk Disclosure Statements as set out in Schedule 2 of the Client Agreement with Zhesang International Financial Holdings Co., Limited, ask questions and take independent advice, if I/we wished.

(2) 本人/吾等已獲提供由本人/吾等自行選擇的語言(英文或中文)之客戶協議及其附表。

The Client Agreement and the Schedules attached were provided in a language (English or Chinese) of my/our choice.



- (3) 本人/吾等已仔細閱讀、完全理解並同意接受及遵守客戶協議內之個人資料收集聲明。
I/We have carefully read, fully understood and agreed to accept and be bound by the Personal Information Collection Statement of the Agreement.
- (4) 浙商國際金融控股有限公司將於收妥此表格後 14 個工作天內確認閣下之申請或通知閣下之申請不被接納。
Zhesang International Financial Holdings Co., Limited shall either confirm your application or inform you the refusal thereof no later than 14 working days after receipt of this form.

客戶簽署 Signed by Client	日期 Date
X	

職員/經紀聲明 Declaration by Staff/ Account Executive

下列簽署之持牌人員確認已按照客戶選擇的語言提供風險披露聲明，並邀請客戶閱讀該等風險披露聲明、提出問題及徵求獨立意見(如客戶有此意願)。

The Undersigned licensed person of Zhesang International Financial Holdings Co., Limited confirms that the Client has / have been provided the Risk Disclosure Statements in a language of the Client's choice and has invited the Client to read the same, ask questions and take independent advice (if the Client wishes).

(請用正楷填寫 Please complete in block letters)

職員/經紀簽署

Signature of Staff/AE:

中央編號

CE No. :

職員/經紀姓名

Name of Staff/AE:

日期

Date:

只由本公司填寫

負責人員審批	合規部審批	處理